

Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Application Number</b>	10/631,165
				<b>Filing Date</b>	July 31, 2003
				<b>First Named Inventor</b>	Kimberly D. Seaman
				<b>Art Unit</b>	3692
				<b>Examiner Name</b>	Clement B. Graham
Sheet	1	of	6	<b>Attorney Docket Number</b>	020673

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number – Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (If known)					

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Substitute for form 1449A/PTO				<b>Complete If Known</b> <b>Application Number</b> 10/631,165 <b>Filing Date</b> July 31, 2003 <b>First Named inventor</b> Kimberly D. Seaman <b>Art Unit</b> 3692 <b>Examiner Name</b> Clement B. Graham	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Attorney Docket Number</b> 020673	
Sheet	2	of	6		

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		Attorney Docket Number	020673

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		United States Patent Application No. 60/613,672, filed September 28, 2004 (Attorney Docket No. 030991P).	
		United States Patent Application No. 10/631,243, filed July 31, 2003. (Attorney Docket No. 020420).	
		United States Patent Application No. 12/117,387, filed May 8, 2008 (Attorney Docket No. 030991-BCON).	
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		"Customer Identification Programs," printed from <a href="http://www.choicepoint.net/ChoicePoint/business/financial/patriotact.html">http://www.choicepoint.net/ChoicePoint/business/financial/patriotact.html</a> , Internet site, accessed on 8/15/2006, 2 pages.	
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		TOM LESWING, "ICI Surveys Members on Money Laundering Policies," dated October 31, 2003, printed from <a href="http://www.ignites.com/home/members/print.article.html?id=974218951">http://www.ignites.com/home/members/print.article.html?id=974218951</a> , Internet site, accessed on 10/31/03, 2 pages.	
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		Memorandum from Investment Company Institute dated January 9, 2004 regarding "Additional AML Guidance Issued on Customer Identification Program Rule," 11 pages.	

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		ACTIMIZE - Brokerage Compliance, Anti-Money Laundering, Financial Fraud Prevention, "Solutions - AML/USPA Compliance," printed from <a href="http://www.actimize.com/asp/sub/asp?sec=116&amp;sub=947">http://www.actimize.com/asp/sub/asp?sec=116&amp;sub=947</a> , Internet site, accessed on 1/27/2005, 4 pages.	
		PAUL ADAMS, "Anti-Terrorist Rules Make It Harder to Open New Bank, Brokerage Account," printed from <a href="http://www.consumerwatchdog.org/corporate/nw/nw003637.php3">http://www.consumerwatchdog.org/corporate/nw/nw003637.php3</a> , Internet site, accessed on 1/27/2005, 4 pages.	
		Office Action dated June 26, 2008 for United States Patent Application No. 10/631,243, filed July 31, 2003 (Attorney Docket No. 020420).	
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		Office Action dated September 4, 2008 for United States Patent Application No. 11/237,501, filed September 28, 2005 (Attorney Docket No. 030991-A).	
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